



Misconduct in Research

PURPOSE

The University has a commitment to high ethical standards in research; therefore it provides this policy and procedure for an administrative process to review reports of alleged misconduct in research conducted at the University.

Federal law mandates that Federal agencies sponsoring research must require an awardee institution to have such a policy in place. For instance, Section 493 of the Public Health Service Act, as amended (Public Law 99-158, November 20, 1985; 99 S/F1 91 11.04 Tf1 0 01.04 Tf825lic Law

"Inquiry Committee" means a committee of six (6) faculty members experienced in research and representing a cross section of the academic disciplines. The Committee is appointed by the Provost based upon the recommendations of the Deans.

8. review of any documents or evidence provided by or properly obtainable from parties, witnesses or other sources;
9. cooperation with other agencies;
10. opportunity for the subject of the investigation to be heard; and
11. full ad judicatory

If the alleged misconduct is not substantiated by a thorough investigation, formal efforts will be undertaken to restore fully the reputation of the respondent(s) under investigation. In addition, appropriate disciplinary action may be taken against any complainants whose involvement in leveling unfounded charges was demonstrated to have been malicious or intentionally dishonest.

Subsequent to the completion of an investigation, faculty practices and institutional policies and procedures for promoting the ethical conduct of research and investigation allegations of misconduct will be scrutinized and modified in the light of experience gained.

Recapitulation of Time Considerations

1. Within seven (7) working days of the receipt of a written report of alleged misconduct, the department head must refer the matter in writing, with or without comment, to the Dean of the College; the accused individual(s) will be notified.
2. Within thirty (30) days of receipt of the initial report, the Dean of the college will refer the incident to the Inquiry Committee.
3. Within ten (10) working days of receipt of a written notice of alleged misconduct, the Inquiry Committee shall convene and commence its inquiry as soon as feasible and report within forty-five (45) days of its appointment its findings to the Dean of the college, who shall promptly forward the report together, with his/her recommendations to the Provost.
4. If an investigation is recommended by the Inquiry Committee, within ten (10) working days of receipt of the written findings and recommendations, the Dean of the college shall appoint the Investigative Committee (in consultation with the Provost), which shall convene and commence its investigation as soon as feasible and report within one hundred twenty (120) days of its appointment its findings and recommendations to the Dean of the college. The Dean of the college shall promptly forward the report together with his/her recommendations to the Provost.
5. If an investigation is recommended by the Inquiry Committee, the Dean of the college shall notify the sponsoring agency in accordance with the regulations of the agency.
6. If an investigation is found unwarranted by the Inquiry Committee and the Inquiry Committee reports that no further action should be taken, the Dean of the college shall **promptly** forward the report together with his/her recommendations to the Provost. The